

## **Kawasaki Group Policy on Export Control**

### **1. Group Basic Policy on Export Control**

The Kawasaki Group recognizes that compliance with laws and regulations on export control is an integral part of its corporate social responsibilities (CSR) and essential to maintaining peace and security in the international community and supporting humanity's harmonious coexistence with the global environment. In line with this recognition, the Group shall establish and maintain a strict export control system and implement appropriate security trade control so as to ensure that its products and services are not provided for transactions aimed at such purposes as the development of weapons of mass destruction or the excessive accumulation of conventional arms.

### **2. Group Principles of Conduct on Export Control**

The Kawasaki Group shall implement export control in accordance with the following principles of conduct:

- (1) The Export Control Laws and Regulations Compliance Screening Committee, which is in place at Kawasaki Heavy Industries, Ltd. (KHI) and chaired by the executive officer of KHI who serves as a Chief Executive Officer of export control, shall supervise KHI's export control and provide all Kawasaki Group companies with guidance on export control.
- (2) If a Kawasaki Group company were to continuously engage in the export of commodities or the provision of technologies to foreign nations, it shall establish internal rules on export control in a manner consistent with actual transactional status. Such Group company shall thus implement strict export control under a robust system supervised by its executive officer, who shall be concurrently responsible for export control.
- (3) The Kawasaki Group shall be especially careful when rendering judgement on certain types of transactions, for example, military-related transactions, that entail concerns about said transactions' possible impact on the peace and security of the international community. To this end, such judgement shall be preceded by consultation with relevant KHI departments pursuant to the sensitivity of each transaction.
- (4) The Kawasaki Group shall provide employees and officers who engage in the export business with sufficient education and training on export control.
- (5) If the Kawasaki Group becomes aware of any violation of export control laws and regulations, it shall immediately notify the governmental authorities and implement swift countermeasures.